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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 09/868,600   | 09/27/2001  | Robert Baldemair     | 032287-020          | 2781             |
| 27045  | 7590        | 04/19/2005           | EXAMINER            |                  |
| ERICSSON INC.<br>6300 LEGACY DRIVE<br>M/S EVR C11<br>PLANO, TX 75024 |             |                      | BURD, KEVIN MICHAEL |                  |
|  |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 2631                |                  |

DATE MAILED: 04/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/868,600

Applicant(s)

BALDEMAIR, ROBERT

Examiner

Kevin M. Burd

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 03 April 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☒ Claim(s) 10 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 September 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 1/28/2002.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

***Priority***

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

***Information Disclosure Statement***

2. The information disclosure statement filed 1/28/2002 fails to comply with 37 CFR 1.98(a)(3) because it does not include a concise explanation of the relevance of reference DE 19625054, as it is presently understood by the individual designated in 37 CFR 1.56(c) most knowledgeable about the content of the information, of each patent listed that is not in the English language. It has been placed in the application file, but the information referred to therein has not been considered.

***Specification***

3. This application does not contain an abstract of the disclosure as required by 37 CFR 1.72(b). An abstract on a separate sheet is required.

***Claim Objections***

4. Claim 10 is objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot be dependent on any other multiple dependent claim. See MPEP § 608.01(n). Accordingly, the claim has not been further treated on the merits.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 1-9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claims 1-9, the phrase "e.g." renders the claim indefinite because it is unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d). This phrase is found in claims 1 and 5-8. Claims 2-4 and 9 are dependent on these claims.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bingham (WO 97/40609) in view of Awater et al (US 6,005,840).

Regarding claim 1, Bingham discloses a method and transmission system for suppressing frequency bands during transmission of data (figures 7-11) in a DMT system (page 7, lines 11-31 and figure 12). DMT systems have a broad frequency band that is divided into a plurality of sub channels having sub carriers assigned thereto and

the data to be transmitted are modulated in the transmitter with Inverse Fast Fourier Transform (IFFT) and are demodulated in the receiver with Fast Fourier Transform (FFT) as shown in figure 12. Each sub channel is provided in the spectrum with a major lobe and several side lobes occurring between nearby carriers as shown in figures 3 and 4. Sub carriers within a certain range are given a value of zero for suppressing said range (figures 7-11). A tone is transmitted for compensating for the side lobes and is used to suppress the transmission power in the restricted frequency band due to side lobe transmissions from at least one of the data sub channels outside the restricted frequency band (page 22, lines 1-13).

Bingham doesn't disclose using an IDFT in the modulator and DFT in the demodulator in the OFDM system. Awater discloses, "The Inverse Fast Fourier Transform (IFFT) is a well known efficient implementation of the IDFT that performs an N-point IDFT transform" in column 1, lines 36-38. For these reasons, it would have been obvious for one of ordinary skill in the art at the time of the invention incorporate the teachings of Awater into the communication system of Bingham.

Regarding claims 2 and 3, Bingham states the tone is transmitted and the tone is chosen to the transmission power in the restricted frequency band due to side lobe transmissions from at least one of the data sub channels outside the restricted frequency band (page 22, lines 1-13).

Regarding claim 4, Bingham discloses the demodulator 176 demodulates the equalized DMT signal and strips the cyclic prefix (page 20, lines 13-16).

Regarding claims 5-8, Bingham discloses a method and transmission system for suppressing frequency bands during transmission of data (figures 7-11) in a DMT system (page 7, lines 11-31 and figure 12). DMT systems have a broad frequency band that is divided into a plurality of sub channels having sub carriers assigned thereto and the data to be transmitted are modulated in the transmitter with Inverse Fast Fourier Transform (IFFT) and re demodulated in the receiver with Fast Fourier Transform (FFT) as shown in figure 12. Each sub channel is provided in the spectrum with a major lobe and several side lobes occurring between nearby carriers as shown in figures 3 and 4. Sub carriers within a certain range are given a value of zero for suppressing said range (figures 7-11). A tone is transmitted for compensating for the side lobes and is used to suppress the transmission power in the restricted frequency band due to side lobe transmissions from at least one of the data sub channels outside the restricted frequency band (page 22, lines 1-13).

Bingham doesn't disclose using an IDFT in the modulator and DFT in the demodulator in the OFDM system. Awater discloses, "The Inverse Fast Fourier Transform (IFFT) is a well known efficient implementation of the IDFT that performs an N-point IDFT transform" in column 1, lines 36-38. For these reasons, it would have been obvious for one of ordinary skill in the art at the time of the invention incorporate the teachings of Awater into the communication system of Bingham.

Regarding claim 9, Bingham states the tone is transmitted and the tone is chosen to the transmission power in the restricted frequency band due to side lobe

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transmissions form at least one of the data sub channels outside the restricted frequency band (page 22, lines 1-13).

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin M. Burd whose telephone number is (571) 272-3008. The examiner can normally be reached on Monday - Thursday 9 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mohammad Ghayour can be reached on (571) 272-3021. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Kevin M. Burd  
4/15/2005

**KEVIN BURD**  
**PRIMARY EXAMINER**